

# On the Definition and Normality of a General Table of Simultaneous Padé Approximants

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We give a correct definition of a general table of simultaneous Padé approximants and study its normality property. © 1994 Academic Press, Inc.

## 1. DEFINITION OF A GENERAL TABLE OF SIMULTANEOUS PADÉ APPROXIMANTS

Throughout this paper we will assume that  $p$  is a positive integer,  $z_0$  a complex number and that  $f_1, \dots, f_p$  are (formal) power series with respect to  $z_0$

$$f_i(z) = \sum_{n=0}^{\infty} a_n^i (z - z_0)^n.$$

The tuple  $(\mathbf{m}, \mathbf{n})$  will be called an index, if  $\mathbf{m} = (m_1, m_2, \dots, m_p)$ ,  $\mathbf{n} = (n_1, n_2, \dots, n_p)$ , with  $m_i, n_i + 1$  being non-negative integers ( $i = 1, \dots, p$ ).

We define a denominator  $Q(z)$  and numerators  $P_1(z), P_2(z), \dots, P_p(z)$  of simultaneous approximants associated with index  $(\mathbf{m}, \mathbf{n})$  by the following relations:  $m = m_1 + m_2 + \dots + m_p$  ( $i = 1, 2, \dots, p$ )

$$\deg Q \leq m, \quad \deg P_i \leq n_i, \quad (1)$$

$$Q(z) f_i(z) - P_i(z) = c_i(z - z_0)^{m_i + n_i + 1} + \dots, \quad (2)$$

Note that by definition it is sufficient to find a polynomial  $Q$ ,  $\deg Q \leq m$ , with

$$(Qf_i)_j = 0, \quad j = n_i + 1, n_i + 2, \dots, n_i + m_i, \quad i = 1, 2, \dots, p \quad (3)$$

(we denote by  $(g)_j$  the coefficient of  $(z - z_0)^j$  in the power series of  $g(z)$ , especially  $(g)_j = 0$  for  $j < 0$ ). Then the polynomials  $P_i(z)$  ( $i = 1, 2, \dots, p$ ) are given by  $P_i(z) = 0$  if  $n_i = -1$ , and otherwise by

$$P_i(z) = \sum_{k=0}^{n_i} (Qf_i)_k (z - z_0)^k. \quad (4)$$

A non-trivial polynomial  $Q(z)$  exists for all indices  $(\mathbf{m}, \mathbf{n})$  since (3) results in a system of  $m$  linear homogeneous equations with  $(m + 1)$  unknown coefficients of  $Q$ .

For the case  $n_1 + m_1 = n_2 + m_2 = \dots = n_p + m_p$ , the index  $(\mathbf{m}, \mathbf{n})$  will be called a *Mahler index*. Following the notations introduced in [11], the polynomials of simultaneous approximation  $Q, P_i$  corresponding to Mahler indices are so-called Hermite–Padé polynomials of type II. In [9], Hermite also defined a second class of Hermite–Padé polynomials of type I (confer, e.g., [7, 1, 8, 2, 12]). Close connections between both types have been pointed out in [11, 10, 4, 5]. In [6, 13], further results for type II polynomials are given, the case of diagonal Mahler indices  $m_1 = m_2 = \dots = m_p, n_1 = n_2 = \dots = n_p$ , is discussed in [3].

It is well known that in general neither the polynomials  $Q(z)$  and  $P_i(z)$  nor the vector of rational functions

$$\pi(\mathbf{m}, \mathbf{n}) = \left( \frac{P_1}{Q}, \frac{P_2}{Q}, \dots, \frac{P_p}{Q} \right)$$

is unique for a fixed index  $(\mathbf{m}, \mathbf{n})$ . In the present paper we shall study the general table of simultaneous approximants defined as follows.

**DEFINITION 1.** For all indices  $(\mathbf{m}, \mathbf{n})$  we shall call simultaneous Padé denominator a non-trivial polynomial  $Q(z)$  of smallest degree verifying (1)–(2). The corresponding vector of rational functions  $\pi(\mathbf{m}, \mathbf{n})$  will be

called vector of simultaneous Padé approximants associated with index  $(\mathbf{m}, \mathbf{n})$ .

In this way the approximants  $\pi(\mathbf{m}, \mathbf{n})$  are unique for all  $(\mathbf{m}, \mathbf{n})$ . To see it we suppose that it exist two polynomials  $Q(z)$  and  $Q^*(z)$  of degree as small as possible satisfying (1)–(2). Then the difference  $Q(z) - aQ^*(z)$  verifies (1)–(2), too. By choosing  $a$  we can obtain  $(Q - aQ^*) \leq \deg Q - 1$  and so  $Q$  is not of smallest degree verifying (1)–(2). Therefore  $Q = aQ^*$  and  $\pi(\mathbf{m}, \mathbf{n}) = \pi^*(\mathbf{m}, \mathbf{n})$ . In this paper, the  $2p$  dimensional table of the unique approximants  $\pi(\mathbf{m}, \mathbf{n})$  will be called *simultaneous Padé table*.

As in the classical Padé case ( $p = 1$ ), we will show the quite important role of the approximants corresponding to normal indices in this table defined as follows.

**DEFINITION 2.** An index  $(\mathbf{m}, \mathbf{n})$  is called a normal index if for all polynomials verifying (1)–(2) we have  $\deg Q = m$ ,  $\deg P_i = n_i$ , and  $Q(z_0) \neq 0$  (where the degree of the zero polynomial is defined to be  $-1$ ).

If an index  $(\mathbf{m}, \mathbf{n})$  is normal then obviously the vector  $\pi(\mathbf{m}, \mathbf{n})$  is unique for all solutions of (1)–(2).

The conditions for a normal index  $(\mathbf{m}, \mathbf{n})$  are all necessary for the fact that the approximant  $\pi(\mathbf{m}, \mathbf{n})$  does not occur at any other position in the simultaneous Padé table. As a sufficient condition, we have to assume in addition that all coefficients  $c_i$  in (2) ( $i = 1, 2, \dots, p$ ) are different from zero. This different type of regularity has been used in [6] to study Hermite–Padé polynomials of type II.

Let us consider for a moment a second system  $\tilde{f}_1, \dots, \tilde{f}_p$  of (formal) power series defined by  $f_i(z) = (z - z_0)^{k_i} \cdot \tilde{f}_i(z)$  with  $\tilde{f}_i(z_0) \neq 0$  ( $i = 1, \dots, p$ ). It is easy to see that the simultaneous Padé table of both systems are closely connected, a fact which for  $p > 1$  does not hold anymore if one only considers Mahler indices. Given an index  $(\mathbf{m}, \mathbf{n})$  in the range  $m_i + n_i < m + k_i$  for all  $i = 1, \dots, p$ , the polynomials  $Q(z) = (z - z_0)^m$ ,  $P_i(z) = 0$ , satisfy condition (1)–(2), hence the only normal index in this range is given by  $\mathbf{m} = (0, \dots, 0)$ ,  $\mathbf{n} = (-1, \dots, -1)$ . Similar, if  $(\mathbf{m}, \mathbf{n})$  is a normal index with  $n_i < k_i$  for an  $i$ , then necessarily  $m_i = 0$  and  $n_i = -1$  which corresponds to the fact that we can consider the system of  $p - 1$  (formal) power series  $f_1, \dots, f_{i-1}, f_{i+1}, \dots, f_p$ .

## 2. NORMAL INDICES

The usual way to study the approximants corresponding to normal indices is to introduce Hadamard determinants (see [7]). For  $j, k, l \in N$

(the set of non-negative integers),  $i = 1, \dots, p$ , we denote by  $A_k^i(j, l)$  the rectangular Toeplitz matrix of type  $j \times k$

$$A_k^i(j, l) = \begin{pmatrix} a_l^i & a_{l-1}^i & \cdots & a_{l-k+1}^i \\ a_{l+1}^i & a_l^i & \cdots & a_{l-k+2}^i \\ \vdots & \ddots & \ddots & \vdots \\ a_{l+j-1}^i & a_{l+j-2}^i & \cdots & a_{l+j-k}^i \end{pmatrix}.$$

The system (3) of equations for the coefficients of the polynomial  $Q(z) = u_0 + u_1(z - z_0) + \cdots + u_m(z - z_0)^m$  takes the following form:

$$\begin{pmatrix} A_{m+1}^1(m_1, n_1 + 1) \\ \vdots \\ A_{m+1}^p(m_p, n_p + 1) \end{pmatrix} \cdot \begin{pmatrix} u_0 \\ \vdots \\ u_m \end{pmatrix} = \begin{pmatrix} 0 \\ \vdots \\ 0 \end{pmatrix}. \quad (5)$$

Introducing the determinants

$$H(\mathbf{m}, \mathbf{n}) = \begin{vmatrix} A_m^1(m_1, n_1) \\ \vdots \\ A_m^p(m_p, n_p) \end{vmatrix}, \quad Q(\mathbf{m}, \mathbf{n}) = \begin{vmatrix} A_{m+1}^1(m_1, n_1 + 1) \\ \vdots \\ A_{m+1}^p(m_p, n_p + 1) \\ 1 (z - z_0) \cdots (z - z_0)^m \end{vmatrix} \quad (6)$$

we obtain the following lemmata describing normal indices.

**LEMMA 1.** *Problem (3) has a unique solution (up to multiplication with a constant) given by  $Q = \text{const} \cdot Q(\mathbf{m}, \mathbf{n})$  if and only if  $Q(\mathbf{m}, \mathbf{n})$  is non-trivial.*

*Proof.* The assertion follows immediately by application of the Kronecker-Capelli theorem on the system of linear Eqs. (5). ■

Setting  $\mathbf{e} = (1, 1, \dots, 1)$ ,  $\mathbf{e}_i = (0, 0, \dots, 0, 1, 0, \dots, 0)$  ( $i = 1, 2, \dots, p$ ), we obtain for the denominator  $Q(\mathbf{m}, \mathbf{n})$  and its corresponding numerators  $P_i(\mathbf{m}, \mathbf{n})$

$$\begin{aligned} Q(\mathbf{m}, \mathbf{n})(z_0) &= (-1)^m \cdot H(\mathbf{m}, \mathbf{n}), \\ (Q(\mathbf{m}, \mathbf{n}))_m &= H(\mathbf{m}, \mathbf{n} + \mathbf{e}), \\ (P_i(\mathbf{m}, \mathbf{n}))_{n_i} &= (-1)^{m_i + m_{i+1} + \cdots + m_p} \\ &\quad \cdot H(\mathbf{m} + \mathbf{e}_i, \mathbf{n} + \mathbf{e} - \mathbf{e}_i) \quad (\text{if } n_i \geq 0), \\ (Q(\mathbf{m}, \mathbf{n}) \cdot f_i - P_i(\mathbf{m}, \mathbf{n}))_{m_i + n_i + 1} &= (-1)^{m_{i+1} + \cdots + m_p} \\ &\quad \cdot H(\mathbf{m} + \mathbf{e}_i, \mathbf{n} + \mathbf{e}). \end{aligned}$$

This yields the following determinantal characterization for normal indices.



a linear combination we would be able to construct a relation  $c_{0,1}R_1 + \dots + c_{0,i_0-1}R_{i_0-1} + c_{0,i_0+1} + \dots + c_{0,\sigma+1}R_{\sigma+1} = 0$  which contradicts the assumption  $\text{rank } M[i_0] = \sigma$ . Hence  $c_{1,i_v} = 0$  for  $v = 1, \dots, q$  implying that the rows of the matrix  $M[i_1, \dots, i_q]$  are linearly dependent. ■

For the index  $(\mathbf{l}, \mathbf{k})$ ,  $\mathbf{l} = (l_1, l_2, \dots, l_p)$ ,  $\mathbf{k} = (k_1, k_2, \dots, k_p)$ , and for  $\sigma \in N$ , let  $A_\sigma(\mathbf{l}, \mathbf{k})$  denote the (rectangular) block Toeplitz matrix

$$A_\sigma(\mathbf{l}, \mathbf{k}) = \begin{pmatrix} A_\sigma^1(l_1, k_1) \\ \vdots \\ A_\sigma^p(l_p, k_p) \end{pmatrix}.$$

LEMMA 4. *Suppose that  $(\mathbf{l}, \mathbf{k})$  is an index,  $\sigma \in N$ , with  $\mathbf{l} = (l_1, l_2, \dots, l_p)$  and  $\sigma \leq l = l_1 + l_2 + \dots + l_p$ , such that*

$$\text{rank } A_{\sigma+1}(\mathbf{l}, \mathbf{k} + \mathbf{e}) = \text{rank } A_\sigma(\mathbf{l}, \mathbf{k} + \mathbf{e}) = \sigma.$$

*Then we can find an  $\mathbf{r} = (r_1, r_2, \dots, r_p) \in N^p$ , with  $0 \leq r_i \leq l_i$  ( $i = 1, \dots, p$ ) and  $r_1 + r_2 + \dots + r_p = \sigma$  such that  $\text{rank } A_{\sigma+1}(\mathbf{l}, \mathbf{k} + \mathbf{e}) = \text{rank } A_\sigma(\mathbf{r}, \mathbf{k} + \mathbf{e}) = \sigma$ .*

*Proof* (of Lemma 4). We show the assertion by recurrence on  $l = l_1 + l_2 + \dots + l_p$  for fixed  $\sigma$ .

If  $l = \sigma$ , then Lemma 4 is trivial, take  $\mathbf{r} = \mathbf{l}$ .

In the case  $l > \sigma$ , let without loss of generality  $p' \leq p$  with  $l_1 > 0, \dots, l_{p'} > 0, l_{p'+1} = 0, \dots, l_p = 0$  and let  $i'_v$  denote the number of the last row of the  $v$ th (non-trivial) block of  $A_{\sigma+1}(\mathbf{l}, \mathbf{k} + \mathbf{e})$  ( $v = 1, \dots, p'$ ). For notational conveniences, let

$$A = A_\sigma(\mathbf{l}, \mathbf{k} + \mathbf{e}), \quad A' = A_\sigma(\mathbf{l}, \mathbf{k}).$$

Note that if there are rows numbered  $j_1, \dots, j_\tau$  ( $\tau \geq 1$ ) with  $\text{rank } A[j_1, \dots, j_\tau] = \sigma$  then also  $\text{rank } A'[j_1, \dots, j_\tau] = \sigma$  since otherwise we would have  $\text{rank } A_{\sigma+1}(\mathbf{l}, \mathbf{k} + \mathbf{e}) > \sigma$ .

Suppose that there exists a  $v$  with  $\text{rank } A[i'_v] = \sigma$ . Then in view of

$$\begin{aligned} & A_{\sigma+1}(\mathbf{l}, \mathbf{k} + \mathbf{e})[i'_v] \\ &= A_{\sigma+1}(\mathbf{l}', \mathbf{k} + \mathbf{e}) \quad \text{with } \mathbf{l}' = (l_1, \dots, l_{v-1}, l_v - 1, l_{v+1}, \dots, l_p), \end{aligned}$$

the assertion of Lemma 4 follows by recurrence.

Let us discuss the case where  $\text{rank } A[i'_v] < \sigma$  for all  $v = 1, \dots, p'$ . By assumption, there exist  $j_1 > j_2 > \dots > j_{l-\sigma}$  with  $\text{rank } A[j_1, j_2, \dots, j_{l-\sigma}] = \sigma$ . We may assume that  $j_1$  is minimal, i.e., for all  $j < j_1$ ,  $j \neq j_2, \dots, j_{l-\sigma}$ , we have  $\text{rank } A[j, j_2, \dots, j_{l-\sigma}] < \sigma$ . Let  $i'_v$  be defined by

$$\{i_1, \dots, i_q\} = \{1, 2, \dots, j_1, i'_1, \dots, i'_{p'}\} \setminus \{j_1, j_2, \dots, j_{l-\sigma}\}$$

and hence  $q \geq 1$ . Consequently,  $\text{rank } A[i_v, j_2, \dots, j_{l-\sigma}] < \sigma$  for all  $v = 1, \dots, q$ . From Lemma 3 we can conclude that  $A[i_1, i_2, \dots, i_q, j_2, \dots, j_{l-\sigma}]$  is a matrix of size  $(\sigma - q + 1, \sigma)$  having a rank less or equal to  $\sigma - q$ . This contradicts the fact that, because of the block Toeplitz structure,  $A[i_1, i_2, \dots, i_q, j_2, \dots, j_{l-\sigma}]$  is a submatrix of the non-singular square matrix  $A'[j_1, j_2, \dots, j_{l-\sigma}]$ .

Now we are able to continue the proof of Theorem 1.

*Proof* (of Theorem 1). Let  $Q(z)$  be a denominator of simultaneous Padé approximants associated with index  $(\mathbf{m}, \mathbf{n})$  normed such that

$$Q(z) = (z - z_0)^\gamma \cdot Q'(z) \quad \text{with} \quad Q'(z_0) = 1 \quad (9)$$

with  $\gamma \in N$  and let  $P_1, \dots, P_p$  denote the corresponding numerators. From (4) we can conclude that with  $Q$  also  $P_i$  is divisible by  $(z - z_0)^\gamma$ , let  $P'_i(z) = P_i(z)/(z - z_0)^\gamma$ . We define the indices  $(\mathbf{m}', \mathbf{n}')$ ,  $(\mathbf{l}, \mathbf{k})$  by ( $i = 1, \dots, p$ )

$$\mathbf{n}' = (n'_1, \dots, n'_p) \quad \text{with} \quad n'_i = \deg P_i \geq -1, \quad (10)$$

$$\mathbf{m}' = (m'_1, \dots, m'_p) \quad \text{with} \quad m'_i = \begin{cases} \max\{\gamma - n_i - 1, m_i\} \geq 0 & \text{if } P_i = 0, \\ m_i \geq 0 & \text{if } P_i \neq 0, \end{cases} \quad (11)$$

$$\mathbf{l} = (l_1, \dots, l_p) \quad \text{with} \quad l_i = \begin{cases} m'_i + n_i - n'_i - \gamma \geq 0 & \text{if } P_i = 0, \\ m'_i + n_i - n'_i \geq 0 & \text{if } P_i \neq 0, \end{cases} \quad (12)$$

$$\mathbf{k} = (k_1, \dots, k_p) \quad \text{with} \quad k_i = \begin{cases} n'_i = -1 & \text{if } P_i = 0, \\ n'_i - \gamma = \deg P'_i \geq 0 & \text{if } P_i \neq 0, \end{cases} \quad (13)$$

such that  $\mathbf{l} + \mathbf{k} = \mathbf{m}' + \mathbf{n} - (\gamma, \dots, \gamma)$ . Finally, let  $d := \deg Q$ . By definition,  $Q$  is also a simultaneous Padé denominator associated with the indices  $(\mathbf{m}', \mathbf{n})$  and  $(\mathbf{m}' + \mathbf{n} - \mathbf{n}', \mathbf{n}')$ . Moreover,  $Q, P_1, \dots, P_p$  is (up to multiplication with a constant) the unique solution of (1)–(2) with index  $(\mathbf{m}' + \mathbf{n} - \mathbf{n}', \mathbf{n}')$  where the degree of the denominator is less or equal to  $d$ . Setting  $Q(z) = u_0 + u_1 \cdot (z - z_0) + \dots + u_d \cdot (z - z_0)^d$  ( $u_0 = u_1 = \dots = u_{\gamma-1} = 0$ ), we see that the two systems of linear equations

$$A_{d+1}(\mathbf{m}' + \mathbf{n}' - \mathbf{n}, \mathbf{n}' + \mathbf{e}) \cdot (x_0, \dots, x_d)^T = (0, \dots, 0)^T \quad (14)$$

$$A_{d-\gamma+1}(\mathbf{l}, \mathbf{k} + \mathbf{e}) \cdot (x'_\gamma, \dots, x'_d)^T = (0, \dots, 0)^T \quad (15)$$

have (up to multiplication with a constant) the unique solutions  $(u_0, \dots, u_d)$ , and  $(u_\gamma, \dots, u_d)$ , respectively. Since  $u_d \neq 0$ , we may apply Lemma 4 in order to obtain a vector  $\mathbf{r} = (r_1, \dots, r_p)$ ,  $0 \leq r_i \leq l_i$ ,  $i = 1, \dots, p$ ,  $r_1 + \dots + r_p = d - \gamma$ , such that the determinant of the square submatrix  $A_{d-\gamma}(\mathbf{r}, \mathbf{k} + \mathbf{e})$  of  $A_{d-\gamma+1}(\mathbf{l}, \mathbf{k} + \mathbf{e})$  does not vanish. Lemma 1 implies that

$Q', P'_1, \dots, P'_p$  is (up to multiplication with a constant) the unique solution of (1)–(2) with index  $(\mathbf{r}, \mathbf{k})$ . By construction we have  $Q'(z_0) \neq 0$ ,  $\deg Q' = d - \gamma$  and  $\deg P'_i = k_i$ ,  $i = 1, \dots, p$ . Hence  $(\mathbf{r}, \mathbf{k})$  is a normal index with

$$\pi(\mathbf{r}, \mathbf{k}) = \pi(\mathbf{m}, \mathbf{n}) = \left( \frac{P'_1}{Q'}, \dots, \frac{P'_p}{Q'} \right)$$

which yields the assertion of Theorem 1. ■

As a consequence of Theorem 1, each Hermite–Padé approximant of type II with minimal degree of denominator has a determinant representation. A similar result has been obtained in [1, p. 195] for type I approximants using a more general definition of minimal degree.

EXAMPLE. Let as in [3, Example 2]

$$f_1(z) = 2z^6 + \frac{1+z}{1-z}, \quad f_2(z) = 2z^6 + \frac{1+z}{1-2z}, \quad z_0 = 0,$$

then we observe the following facts:

(a) A corresponding normal index is not unique, for instance for the approximant

$$\pi((4, 4), (4, 4)) = \left( \frac{z^2(3-4z-4z^2)}{z^2(3-10z+10z^2-6z^3+6z^4-6z^5)}, \frac{z^2(3-z-2z^2)}{z^2(3-10z+10z^2-6z^3+6z^4-6z^5)} \right),$$

we find the normal indices  $((4, 1), (2, 2))$ , and  $((1, 4), (2, 2))$ .

(b) Note that the index of the approximant of (a) is a Mahler index, i.e.,  $n_1 + m_1 = n_2 + m_2 = \dots = n_p + m_p$ . In contrast, this condition does not hold for any of the corresponding normal indices.

(c) In general we will not have a block structure in form of generalized squares or rectangles, since for example

$$\begin{aligned} \pi((4, 4), (4, 4)) &= \pi((4, 1), (2, 2)) = \pi((1, 4), (2, 2)) \neq \pi((2, 2), (2, 2)) \\ &= \pi((3, 2), (2, 2)) = \pi((2, 3), (2, 2)) = \pi((2, 2), (4, 4)) \\ &= \left( \frac{(1+z)(1-2z)}{(1-z)(1-2z)}, \frac{(1+z)(1-z)}{(1-z)(1-2z)} \right). \end{aligned}$$

*Remark.* We do not know exactly a geometric form of “blocks” in our  $2p$  dimensional simultaneous Padé table. Some simple examples show that



their shape might be quite complicated, it depends on specific properties of the functions  $f_1(z), f_2(z), \dots, f_p(z)$  (for the special case of diagonal Mahler indices, a block structure was investigated in [3]). In contrast, the structure of a table of Hermite–Padé type I approximants was given in [2].

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